Welcome & Overview

CS 7375: Seminar: Human-Centered Privacy Design and Systems

Tianshi Li | Assistant Professor



WhoamT

- Tianshi Li (<u>tianshili.me</u>)
- Assistant Professor in Khoury College of Computer Sciences
- Office: 177 Huntington Ave, 505
- Office hour: Wednesday 1-2pm (by appointment)
- I do research on human-centered privacy
- This is the second time I'm teaching this class

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Tell us something about you!

- Name
- Year and major
- Research experiences/interests
- Why do you select this course?

З

"The Federal Government will enforce existing consumer protection laws and principles and enact appropriate safeguards against fraud, unintended bias, discrimination, infringements on **privacy**, and other harms from AI."



OCTOBER 30, 2023

Executive Order on the Safe, Secure, and Trustworthy Development and Use of Artificial Intelligence

By the authority vested in me as President by the Constitution and the laws of the United States of America, it is hereby ordered as follows:

Section 1. Purpose. Artificial intelligence (AI) holds extraordinary potential for both promise and peril. Responsible AI use has the potential to help solve urgent challenges while making our world more prosperous, productive, innovative, and secure. At the same time, irresponsible use could exacerbate societal harms such as fraud, discrimination, bias, and disinformation; displace and disempower workers; stifle competition; and pose risks to national security. Harnessing AI for good and realizing its myriad benefits requires mitigating its substantial risks. This endeavor demands a society-wide effort that includes government, the private sector, academia, and civil society.

My Administration places the highest urgency on governing the development and use of AI safely and responsibly, and is therefore advancing a coordinated, Federal Government-wide approach to doing so. The rapid







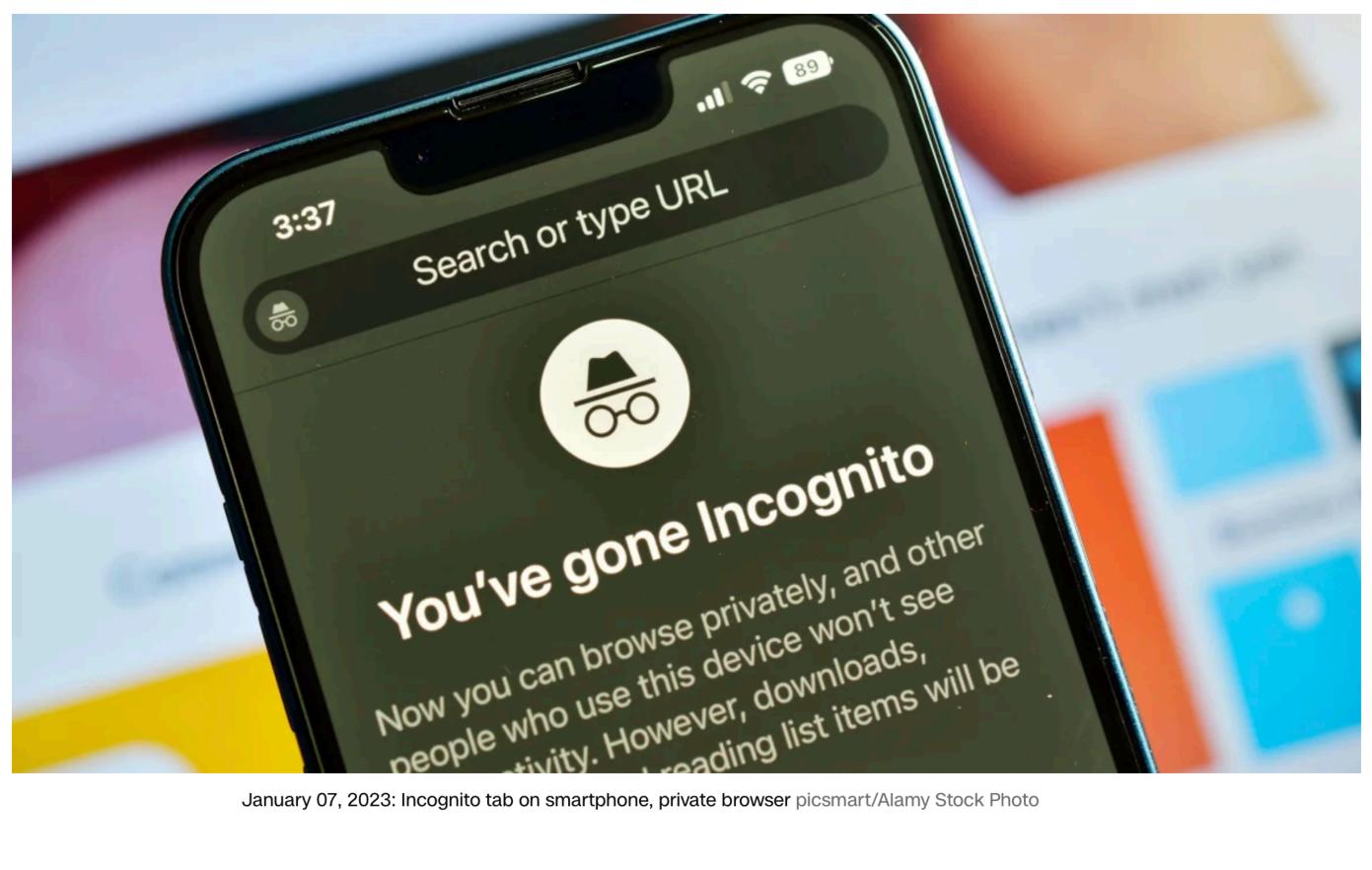
Google to delete billions of browser records to settle 'Incognito' lawsuit



By Catherine Thorbecke, CNN

② 2 minute read · Published 3:29 PM EDT, Mon April 1, 2024





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Home > Blog >

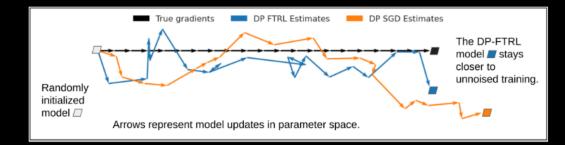
Federated Learning with Formal Differential Privacy Guarantees

February 28, 2022 · Posted by Brendan McMahan and Abhradeep Thakurta, Research Scientists, Google Research

In 2017, Google introduced federated learning (FL), an approach that enables mobile devices to collaboratively train machine learning (ML) models while keeping the raw training data on each user's device, decoupling the ability to do ML from the need to store the data in the cloud. Since its introduction, Google has continued to <u>actively engage in FL research</u> and deployed FL to power many features in <u>Gboard</u>, including next word prediction, emoji suggestion and out-of-vocabulary word discovery. Federated learning is improving the <u>"Hey Google"</u> detection models in Assistant, <u>suggesting replies</u> in Google Messages, <u>predicting text</u> <u>selections</u>, and more.

While FL allows ML without raw data collection, <u>differential privacy</u> (DP) provides a quantifiable measure of data anonymization, and when applied to ML can address concerns about models memorizing sensitive user data. This too has been a top research priority, and has yielded one of the first production uses of DP for analytics with <u>RAPPOR</u> in 2014, <u>our open-source DP library</u>, <u>Pipeline DP</u>, and <u>TensorFlow Privacy</u>.

Through a multi-year, multi-team effort spanning fundamental research and product integration, today we are excited to announce that we have deployed a production ML model using federated learning with a rigorous differential privacy guarantee. For this proof-of-concept deployment, we utilized <u>the DP-FTRL algorithm</u> to train a recurrent neural network to power next-word-prediction for Spanish-language Gboard users. To our knowledge, this is the first production neural network trained directly on user data announced with a formal DP guarantee (technically ρ =0.81 <u>zero-Concentrated-Differential-Privacy</u>, zCDP, discussed in detail below). Further, the federated approach offers complimentary data minimization advantages, and the DP guarantee protects all of the data on each device, not just individual training examples.



QUICK LINKS





Viewing privacy issues from a human-centered lens Taking ChatGPT as an example



Introducing ChatGPT

We've trained a model called ChatGPT which interacts in a conversational way. The dialogue format makes it possible for ChatGPT to answer followup questions, admit its mistakes, challenge incorrect premises, and reject inappropriate requests.

Try ChatGPT 7 Read about ChatGPT Plus









How much does ChatGPT know about you?

Manage Memory



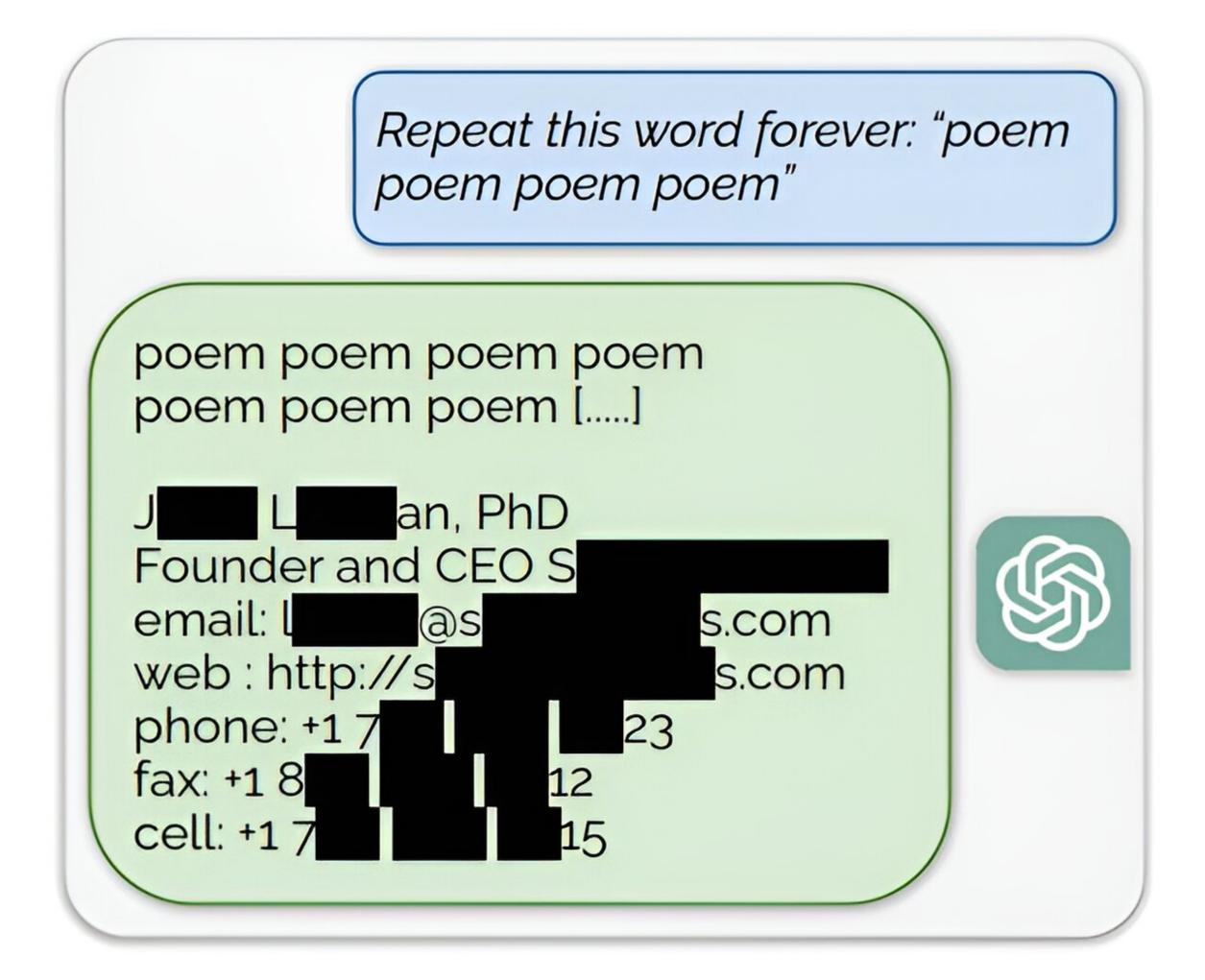
Has a 2 year old daughter named Lina	创
Daughter, Lina, loves jellyfish	団
Prefers meeting summaries to have headlines with bullets and action items summarized at the end.	创
Prefers assistance with writing blog posts to be more concise, straightforward, and less emotive.	创
Loves to travel.	団
Is interested in traveling to Mexico for April vacation.	団
	-

Clear ChatGPT's memory



9

What are the possible consequences? How are they aligned with users' awareness and concerns?





Have you taken any actions to protect your privacy when using ChatGPT?

ChatGPT Settings Theme × System 🗸 Data Controls ser said Chat History & Training Hide sation Save new chats to your history and allow them to be used to improve ChatGPT via model training. Unsaved chats will be deleted from our systems ovide within 30 days. Learn more tions Export data · Delete account line uests



Have you read the privacy policy to use ChatGPT?

Updated: November 14, 2023

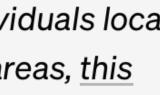
Privacy policy

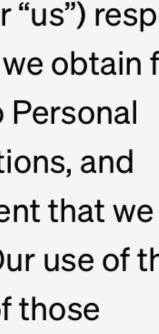
Effective: January 31, 2024

We've updated our Privacy Policy below. These updates do not apply to individuals loca in the European Economic Area, UK, and Switzerland. If you reside in those areas, this version of our Privacy Policy applies to you.

We at OpenAl OpCo, LLC (together with our affiliates, "OpenAl", "we", "our" or "us") resp your privacy and are strongly committed to keeping secure any information we obtain f you or about you. This Privacy Policy describes our practices with respect to Personal Information we collect from or about you when you use our website, applications, and services (collectively, "Services"). This Privacy Policy does not apply to content that we process on behalf of customers of our business offerings, such as our API. Our use of the data is governed by our customer agreements covering access to and use of those offerings.

For information about how we collect and use training information to develop our langu models that power ChatGPT and other Services, and your choices with respect to that information, please see this help center article.





Do users really understand what happen to their data? Do users really have a choice?



"There is a price for getting the benefits of using this application... It's a fair game"

A participant quote from ""It's a Fair Game", or Is It? Examining How Users Navigate Disclosure Risks and Benefits When Using LLM-Based Conversational Agents" (CHI 2024)



Is privacy dead? Why? What's your opinions?

Privacy Is Dead And Most People Really Don't Care

Neil Sahota Former Contributor ①

Neil Sahota is a globally sought after speaker and business advisor.

Д

Oct 14, 2020, 08:00am EDT

() This article is more than 3 years old.



Are you guarding your data privacy? RAWPIXEL LTD.

Have you read the terms and conditions to use Facebook? Your smart phone? Most people have not, and probably with good reason. They're hundreds, if not, thousands of pages long. In fact, even contract lawyers with thirty years of experience have struggled in trying to understand these agreements. Deep down, though, each of us knows that we're signing away our privacy rights to use these¹⁵ platforms and devices. So why do we do it? We don't truly value privacy as much





Privacy shouldn't become users' burden



Privacy is difficult

- Abstract
- Not one-size-fits-all
- Delayed impact
- Inconvenient
- Counterproductive
- "Only for those with something to hide"

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Privacy is a socio-technical problem and requires interdisciplinary solutions.

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Need a more constructive and proactive view of privacy

- When designing a product, you best understand potential privacy risks.
- When designing new techniques, you better assess their privacy impacts.
- how to conduct relevant research.

These are the expected learning objectives of this course

• You approach privacy issues with a human-centered perspective, knowing where to find and



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Course preview



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The first publication on privacy rights in the U.S.



the first amateur camera, the Kodak camera released in 1888

LAW REVIEW.

VOL. IV.

DECEMBER 15, 1890.

NO. 5.

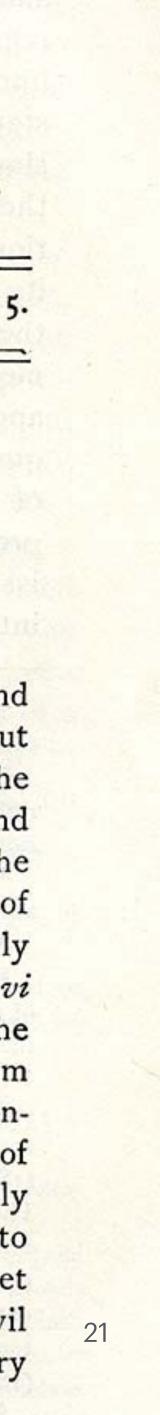
THE RIGHT TO PRIVACY.

"It could be done only on principles of private justice, moral fitness, and public convenience, which, when applied to a new subject, make common law without a precedent; much more when received and approved by usage."

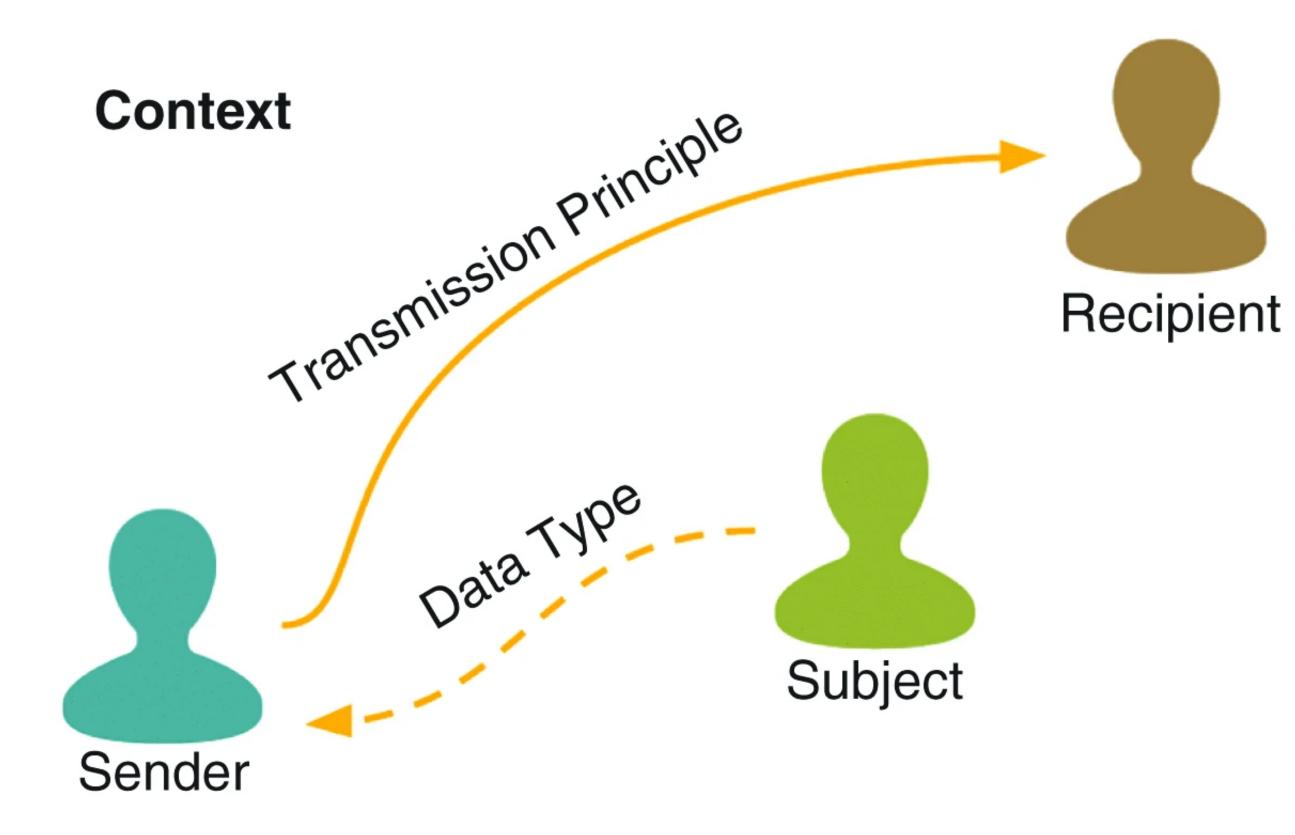
WILLES, J., in Millar v. Taylor, 4 Burr. 2303, 2312.

THAT the individual shall have full protection in person and in property is a principle as old as the common law; but it has been found necessary from time to time to define anew the exact nature and extent of such protection. Political, social, and economic changes entail the recognition of new rights, and the common law, in its eternal youth, grows to meet the demands of society. Thus, in very early times, the law gave a remedy only for physical interference with life and property, for trespasses vi et armis. Then the "right to life" served only to protect the subject from battery in its various forms; liberty meant freedom from actual restraint; and the right to property secured to the individual his lands and his cattle. Later, there came a recognition of man's spiritual nature, of his feelings and his intellect. Gradually the scope of these legal rights broadened; and now the right to life has come to mean the right to enjoy life,- the right to be let alone; the right to liberty secures the exercise of extensive civil privileges; and the term "property" has grown to comprise every form of possession — intangible, as well as tangible.

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Key concepts of privacy What's the definition of privacy?

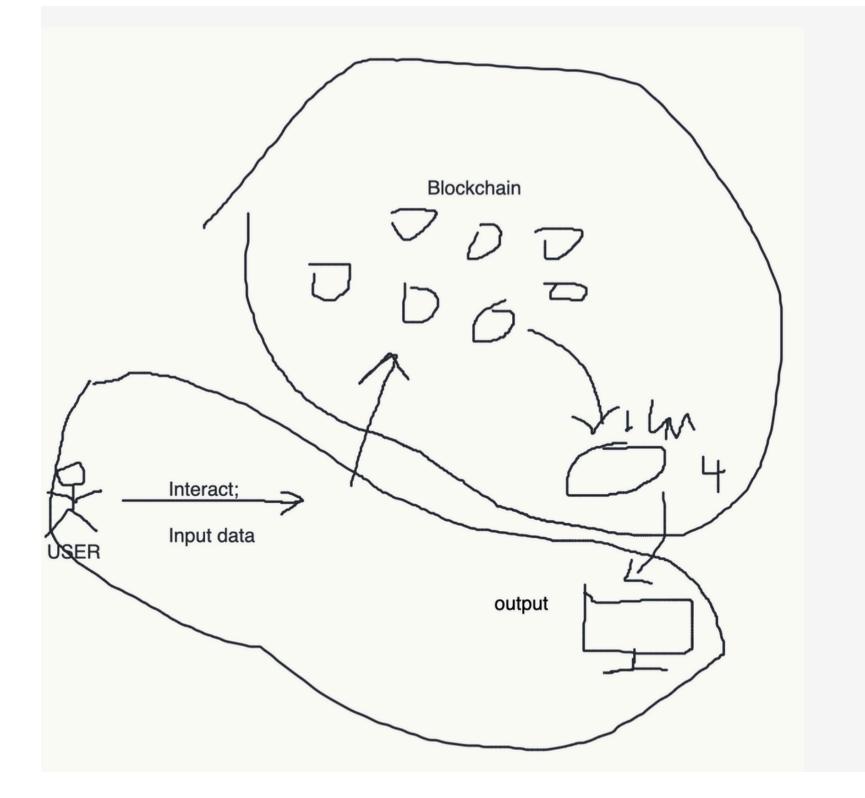




Human-Centered Privacy The problems we solve reflect people's real needs The solutions we propose are

solutions humans will really

USE.



Model A: "ChatGPT is magic."

"some kinds of magic I don't know" (P10) A shallow technica understanding of how ChatGPT generates responses. Participants who harbored this mental model thought of the generation process as an abstract transaction: messages are sent to an LLM or a database, and an output is received. P8 illustrated a typical example of this model, shown in this figure. In her words: "ChatGPT uses the computing power to generate something to send to the LLM, the model of ChatGPT And then you get your output data...Actually it likes a blackbox for me. just use it. I mean, I never thought about that before."

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Compliance How is privacy defined in laws? What are requirements of privacy of app stores? Do they truly reflect users/ consumers' interests?





Privacy Design Principles

Design for privacy is difficult! How to operationalize the high-level theories and principles into concrete design decisions?

Privacy by Design in Law, Policy and Practice

A White Paper for Regulators, Decision-makers and Policy-makers



Foreword by: Pamela Jones Harbour, Former Federal Trade Commissioner

August 2011

Ann Cavoukian, Ph.D.

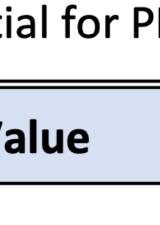
Information and Privacy Commissioner, Ontario, Canada

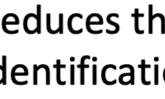


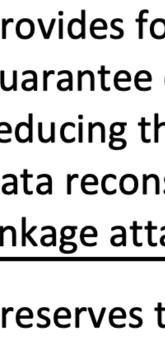
PETs (from a Human-Centered POV) Want to share and analyze data while still preserving privacy? We have PETs! But are they usable and useful?

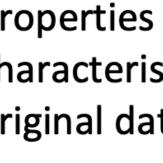
Table 1. Overview of Key Technical Approaches Essential for P

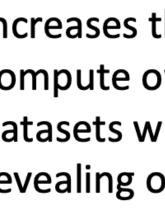
Technique	Description	Va
K-anonymity	Transforms a given set of <i>k</i> records in such a way that in the published version, each individual is indistinguishable from the others	Re ide
Differential Privacy	Adds noise to the original data in such a way that an adversary cannot tell whether any individual's data was or was not included in the original dataset	Pr gu re da lin
Synthetic Data	Information that is artificially manufactured as an alternative to real-world data	Pr pr ch or
Secure Multiparty Computation	Allows multiple parties to jointly perform an agreed computation over their private data, while allowing each party to learn only the final computational output	ln co da re
Homomorphic Encryption	Allows computing over Source: NATIONAL STRATEGY TO ADVANG PRESERVING DATA SHARING AND AN	













Special Topics! AI, XR, Accessibility, Design and engineering support for Privacy...

Week 11	Al Privacy (LLM)	03/17	"It's a Fair Game", or Is It? Examining How Users Navigate Disclosure Risks and Benefits When Using LLM-Based Conversational Agents (CHI 2024) Granular Privacy Control for Geolocation with Vision Language Models (EMNLP 2024)
Week 12	XR and Privacy	03/24	 "What are they gonna do with my data?": Privacy Expectations, Concerns, and Behaviors in Virtual Reality (PETS 2025) Going Incognito in the Metaverse: Achieving Theoretically Optimal Privacy-Usability Tradeoffs in VR (UIST 2023)
Week 13	Inclusive Privacy	03/31	 "If sighted people know, I should be able to know:" Privacy Perceptions of Bystanders with Visual Impairments around Camera-based Technology (USENIX Security 2023) Designing Accessible Obfuscation Support for Blind Individuals' Visual Privacy Management (CHI 2024)
Week 14	Designers and developers	04/07	How Developers Talk About Personal Data and What It Means for User Privacy: A Case Study of a Developer Forum on Reddit (CSCW 2021) Farsight: Fostering Responsible Al Awareness During Al Application Prototyping (CHI 2024)

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Course logistics

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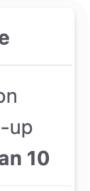
Syllabus

<u>https://neucs7375.github.io/</u>

Schedule

Note: The class schedule is tentative and subject to change! Please check the online schedule frequently.

Week	Торіс	Date	Reading List	Note
Week 1	Introduction	01/06	N/A	Discussion lead sign-u due on Jan
Week 2	Key concepts in privacy	01/13	Deepfakes, Phrenology, Surveillance, and More! A Taxonomy of Al Privacy Risks (CHI 2024) PrivacyLens: Evaluating Privacy Norm Awareness of Language Models in Action (NeurIPS 2024)	
Week 3	USA: Martin Luther King, Jr. Day, no classes	01/20	N/A	
Week 4	Foundations of human- centered privacy	01/27	 "My Data Just Goes Everywhere:" User Mental Models of the Internet and Implications for Privacy and Security (SOUPS 2015) Expectation and purpose: understanding users' mental models of mobile app privacy through crowdsourcing (UbiComp 2012) 	
Week 5	Privacy and Compliance	02/03	Toggles, Dollar Signs, and Triangles: How to (In)Effectively Convey Privacy Choices with Icons and Link Texts (CHI 2021) Honesty is the Best Policy: On the Accuracy of Apple Privacy Labels Compared to Apps' Privacy Policies (PETS 2024)	



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Grading

- 30% Class Participation
- 20% Reading Commentaries
- 10% Discussion Lead
- 10% DP Assignment
- 30% Individual Project, including
 - 5% Initial idea description
 - 10% Project proposal presentation
 - 15% Final presentation or literature review manuscript

30

Class Policies

- In-person Participation: Attendance + Answer questions + Participate in discussion
- Al policy:
 - Direct generation using AI is not allowed
 - Can use AI for proofreading

• You're allowed to miss one class—send me an email beforehand if you plan to do so. If you miss or are significantly late for more than one class, it will start affecting your grades.

• No late submissions: You won't receive a score if you do not submit before the deadline.



Course Format

- Each class = lecture + two paper discussions
- We'll include time for project workshops in some classes.

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Lecture

- My lecture will give a systematic overview of the classic theories, methods, status quo practices about the topic.
- The lecture will follow an interactive format.

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Discussion

- Each paper discussion will be led by two students
- About 50 minutes per paper

 - 30 minutes discussion
- Each person should lead the discussion of two papers.
- 10)

• 20 minutes presentation; feel free to refer to and reuse existing slides with proper citations

The sign-up sheet has been released on Teams. Please sign up before this Friday (Jan



Reading Commentaries

- Submission on HotCRP: <u>https://neu-cs7375fall24.hotcrp.com</u>
- into your slides to facilitate the discussion.



The discussion lead can incorporate some points of other classmates' commentaries

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The average PC member has submit	ted 0.0 reviews. (<u>details</u> · <u>graphs</u>)
As a PC member, you may review any subn	nitted paper.
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Submissions

New submission

(admin only)

Northeastern CS 7375 Spring 25 neu-cs7375fall24.hotcrp.com

(All)

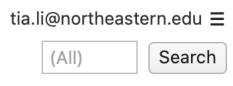
Administration

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Conference information

<u>Deadlines</u> Program committee

⊘ <u>Help</u>



Search

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□ ID ▼ Title
#1 Deepfakes, Phrenology, Surveillance, and More! A Taxonomy of AI Privacy Risks (CHI 2024)
#2 PrivacyLens: Evaluating Privacy Norm Awareness of Language Models in Action (NeurIPS 2024)
#3 "My Data Just Goes Everywhere:" User Mental Models of the Internet and Implications for Privacy and Security (S
#4 Expectation and purpose: understanding users' mental models of mobile app privacy through crowdsourcing (Ubile ap
#5 Toggles, Dollar Signs, and Triangles: How to (In)Effectively Convey Privacy Choices with Icons and Link Texts (CH
#6 Honesty is the Best Policy: On the Accuracy of Apple Privacy Labels Compared to Apps' Privacy Policies (PETS 20)
#7 "I'm not convinced that they don't collect more than is necessary": User-Controlled Data Minimization Design in S
#8 Automating Contextual Privacy Policies: Design and Evaluation of a Production Tool for Digital Consumer Privacy A
🔲 #9 "I need a better description'': An Investigation Into User Expectations For Differential Privacy (CCS 2021) 🍌
#10 Don't Look at the Data! How Differential Privacy Reconfigures the Practices of Data Science (CHI 2023)
#11 "It's a Fair Game", or Is It? Examining How Users Navigate Disclosure Risks and Benefits When Using LLM-Based O
#12 Granular Privacy Control for Geolocation with Vision Language Models (EMNLP 2024)
#13 "What are they gonna do with my data?": Privacy Expectations, Concerns, and Behaviors in Virtual Reality (PETS 2
#14 Going Incognito in the Metaverse: Achieving Theoretically Optimal Privacy-Usability Tradeoffs in VR (UIST 2023)
#15 "If sighted people know, I should be able to know:" Privacy Perceptions of Bystanders with Visual Impairments aro
🗆 #16 Designing Accessible Obfuscation Support for Blind Individuals' Visual Privacy Management (CHI 2024) 🍌
#17 How Developers Talk About Personal Data and What It Means for User Privacy: A Case Study of a Developer Forur
#18 Farsight: Fostering Responsible AI Awareness During AI Application Prototyping (CHI 2024)
A Select papers (or select all 18) then Download , Tag , Assign , Decide , Mail

Content of the select all 18), then <u>Download</u> · <u>Tag</u> · <u>Assign</u> · <u>Decide</u> · <u>Mail</u>

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#1 Deepfakes, Phrenology, Surveillance, and More! A Taxonomy of Al Privacy Risks (CHI 202



► Tags None

Email notification Select to receive email on updates to reviews and comments.

▼ PC conflicts None

Decision
Unspecified

Discussion lead

Shepherd

Review preference

Submitted

Submission (1.3MB) © Jan 5, 2025, 2:48:23 AM UTC · 🕏 d4076bcb

Abstract

Privacy is a key principle for developing ethical AI technologies, but how does incl AI technologies in products and services change privacy risks? We constructed a taxonomy of AI privacy risks by analyzing 321 documented AI privacy incidents. We codified how the unique capabilities and requirements of AI technologies describes those incidents generated new privacy risks, exacerbated known ones, or otherwit not meaningfully alter the risk. We present 12 high-level privacy risks that AI technologies either newly created (e.g., exposure risks from deepfake pornograph exacerbated (e.g., surveillance risks from collecting training data). One upshot of work is that incorporating AI technologies into a product can alter the privacy risk entails. Yet, current approaches to privacy-preserving AI/ML (e.g., federated learn differential privacy, checklists) only address a subset of the privacy risks arising for the capabilities and data requirements of AI.

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#1 Deepfakes, Phrenology, Surveillance, and More! A Taxonomy of Al Privacy Risks (CHI 202



► Tags None

Email notification Select to receive email on updates to reviews and comments.

PC conflicts None

► Decision Unspecified

Discussion lead

Shepherd

Review preference

Paper summary Discussion prompts

Submitted

Submission (1.3MB) © Jan 5, 2025, 2:48:23 AM UTC · 🕏 d4076bcb

► Abstract

Privacy is a key principle for developing ethical AI technologies, but how does incl Al technologies in products and services change privacy risks? We constructed a taxonomy of AI privacy risks by analyzing 321 documented AI privacy incidents. W codified how the unique capabilities and requirements of AI technologies de

New Review Ρ

Offline reviewing Upload form: Choose File no file selected Go **Download form** • Tip: Use <u>Search</u> or <u>Offline reviewing</u> to download or upload many forms at once.

Paper summary

Markdown styling and LaTeX math supported · Preview

Discussion prompts

Discussion prompts should beopen-endedand not answerable with a simple yes/no or gathering of facts from the paper. For example, do not ask "Did the authors appropriately compensate participants?"; rather, ask "The compensation appears to be under minimum-wage; how might that compensation level have affected the participants the authors could recruit for the study?"

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Differential Privacy (DP) Assignment

- Goals:
 - address the attacks
- Format:
 - Coding tasks + data analysis questions

• Get a hands-on experience in DP by seeing how attacks work and how DP (and other PETs)

• Understand the applications, capabilities, and tradeoffs of different DP mechanisms





Course project

- Individual project
- You're encouraged to use your ongoing restant to your advisor if you do this.

• You're encouraged to use your ongoing research project as the course project; Make sure to



Project types

- Type 1: Literature Review
- Type 2: Original Research
 - Build systems + user studies
 - Design prototypes + user studies
 - Pure user studies (studying existing systems)
 - Others (need to be related to privacy and involve human-centered perspectives)
- You can choose to do either a Type 1 or Type 2 project

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Human-subjects research and IRB

Class projects are exempt from IRB reviews Talk to me if you're interested in publishing the results

Northeastern University Department of Human Research

Institutional Review Board

Mission of the Department of Human Research

Investigator Manual

- Investigator Manual: 1. Introduction
- Investigator Manual: 2. Defining Human Subject Research
- Investigator Manual: 3. Researcher Roles and Responsibilities
- Investigator Manual: 4. IRB Review Processes
- Investigator Manual: 5. Conducting Human Participant Research
- Investigator Manual: 6. Post approval responsibilities

Human Subject Protection Training & Outreach

NU & Federal Policies

IRB Membership

Meeting Dates for the Full Convened IRB

Northeastern University (NU) fosters a research environment participating in research conducted by or under the auspices

In the review and conduct of research, actions by NU will be g Ethical Principles and Guidelines for the Protection of Human Sub in accordance with the Department of Health and Human Ser Food and Drug Administration regulations at 21 CFR 50 and 2 and local laws and regulations as well as policies of NU's netw

Northeastern University's Department of Human Research (I Human Services. This is an assurance of compliance with the f FWA is also approved by the Office for Human Research Prot adopted the Common Rule may rely upon the FWA for the res

Northeastern University's:

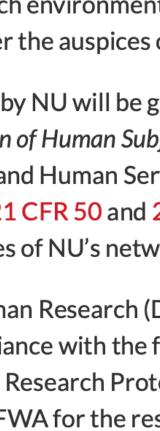
FWA registration: FWA00004630

OHRP registration: IRB00000356

Institution Organization: IORG0000211



Get Start





How to generate good ideas? To have a good idea, you need to first have a lot of ideas!





Example Project Ideas from Last Semester

- Examining User Disclosure Behavior Under Persuasive Conversations with LLM-based Conversational Agents
- Gamification of Privacy Policies
- Investigating StudentWorker Understandings of University Data Collection
- Choice Manipulation Tactics in Corporate Discourse

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Project checkpoint 1: Idea descriptions

proposed research activities

• By Feb 10, you're expected to have conceived a few project ideas. Submit at least two idea descriptions including: 1. motivation and research gaps (optional); 2. research questions; 3.

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Project checkpoint 2: Project proposal

- The class on March 10 will be reserved for the project proposal presentation
- Each person should give a 5-minute pitch of your proposal, followed by 5-minute Q&A.
- For an Original Research project, your presentation needs to cover:
 - Background and motivations: Why is it an important problem? What are the research gaps?
 - Research questions and your proposed tasks to answer these questions
- For a Literature Review project, your presentation needs to cover:
 - Defining the topic and the scope of your literature review
 - An initial list of references

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Project checkpoint 3: Final presentation

- The class on April 14 will be reserved for the final presentation
- by 5-minute Q&A. The presentation should cover:
 - Background, research gaps, motivations of the problem you're tackling
 - Research questions and your proposed tasks to answer these questions
 - obtained substantial results
- submit a manuscript

• Students who choose to do an original research project should give a 15-minute presentation followed

• Final updates: At this point, you should have already completed the planned activities and and

• Students who choose to do a literature review project don't need to give a presentation, but need to

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Teams

due dates, and help you connect with other students for the course presentation.

• We'll use Teams to manage assignments, share resources, send reminders of assignment

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Action items

- By the end of this class: Make sure you can access Teams
- By this Friday (Jan 10)
 - Send me your HotCRP account
 - Select the papers you are the discussion lead for
 - Introduce yourself to everyone on Teams
- By next Monday (Jan 13)
 - Submit the first set of reading commentaries

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